



# NEW Fighting Financial Crime

8th September, 2010  
**LONDON**

Maximum 25  
Cost: £150 Members  
£300 Non Members  
Follow up course Fraud & Loss Prevention  
Dress code: Smart casual  
How to book: Please book online at:  
[www.abfa.org.uk/publicdb/select\\_event.asp](http://www.abfa.org.uk/publicdb/select_event.asp)  
or complete the enclosed booking form and return (along with your payment payable to the ABFA) to:  
Administration Office  
The ABFA, Boston House,  
The Little Green  
Richmond, Surrey TW9 1QE



Tel: 020 8332 9955  
Fax: 020 8332 2585  
Website: [www.abfa.org.uk](http://www.abfa.org.uk)

Please return to:

The Administration Office  
The ABFA  
Boston House  
The Little Green  
Richmond, TW9 1QE



## GUEST SPEAKERS

### Simon Boon, DLA Piper

Simon heads DLA Piper's UK-based receivables financing and asset-based lending team. He has more than 30 years' experience in advising clients on contentious receivables financing and trade finance work. Simon conducts claims against the former clients of finance companies, guarantors and indemnifiers and undertakes fraud investigations and insolvency-related litigation. Such work often involves applying for freezing orders and associated relief; making applications under the Insolvency Act 1986; working with receivers, liquidators, administrators and Trustees in Bankruptcy; and recovering misappropriated assets.

Simon is a regular speaker at conferences and seminars covering the legal aspects of receivables financing and asset-based lending, fraud and money laundering. He presents a legal update to each meeting of the ABFA Operations Forum and regularly speaks at seminars organised on behalf of the ABFA. Simon was awarded "ABFA lecturer of the year 2004 and 2008".

### Daren Allen, DLA Piper

Daren Allen is a Partner and Head of DLA Piper's Financial Services team. Daren has a great deal of experience in acting for individuals, financial institutions and large corporations in relation to complex regulatory investigations, litigation and enforcement proceedings.

Daren regularly advises on matters relating to fraud, corruption, and money laundering. He advised the Joint Money Laundering Steering Group on the 2006 revised Guidance Note for the financial sector and acted in the reported cases of Squirrel Ltd –v- National Westminster Bank (2005) CWMC 664 Civ 1039, UMBS Online Limited –v- SOCA and HMRC (2007) EWCA Civ 406 and Shah & Another –v- HSBC Private Bank (UK) Ltd (2009) EWHC 79 (QB), [2010] EWCA Civ 31.

## DETAILS

**Who should attend** This advanced course has been developed, at the request of the ABFA AML group, to provide additional training and advice to Money Laundering Reporting Officers (MLRO's) and any other staff responsible for the monitoring and reporting of any suspicious financial activity. The course will be aimed at staff who already have a sound understanding of Anti Money Laundering regulations and is designed to enhance that understanding and provide the opportunity to ask questions of experts and share experiences with others in a similar role.

**What delegates will learn** Delegates will learn some of the key areas for consideration when evaluating risks to businesses in the receivables financing sector, as well as ways to ensure that the business is complying with its legal obligations. Delegates will also be given an update into how the Serious Organised Crime Agency (SOCA) is tackling organised crime and how they are dealing with financial institutions responsible for the monitoring and reporting of suspicious activity.

**Course content** **The topics to be covered will include:**

- Dealing with an AML visit from your regulator
- Undertaking an AML investigation
- Anti-money laundering, bribery and corruption
- Insider fraud
- The relationship between contractual obligations to clients and obligations under The Proceeds of Crime Act 2002.

**Duration:** ½ Day.

Registration will be at 9.30am for a 10am start and will close at 1pm followed by lunch.

**Location:** The course will be held at the offices of DLA Piper, 3 Noble Street, London EC2V 7EE  
Tel: 0870 011 1111

## BOOKING FORM

### Fighting Financial Crime

8th September 2010, DLA Piper, London.



Contact Name \_\_\_\_\_

Company \_\_\_\_\_

Address \_\_\_\_\_

Postcode \_\_\_\_\_

Telephone \_\_\_\_\_

E-mail \_\_\_\_\_

**Delegate**

Name \_\_\_\_\_ Job Title \_\_\_\_\_

Email \_\_\_\_\_

**Delegate**

Name \_\_\_\_\_ Job Title \_\_\_\_\_

Email \_\_\_\_\_

**Delegate**

Name \_\_\_\_\_ Job Title \_\_\_\_\_

Email \_\_\_\_\_

**Delegate**

Name \_\_\_\_\_ Job Title \_\_\_\_\_

Email \_\_\_\_\_

A pre-assessment questionnaire and joining instructions will be sent directly to delegates. These documents are also available on the website. Course material will be given out during the Workshop.

### Bookings and Payment

VAT at the current rate is applicable for ABFA courses

Fee \_\_\_\_\_ Per Person

Total VAT at the current rate \_\_\_\_\_

Grand total payment enclosed \_\_\_\_\_

Please make your payment payable to: **ABFA**

### Cancellation policy for Day Courses

- Any changes or cancellations to bookings must be made in writing (email is acceptable).
- All cancellations must be received at the ABFA 45 days before the start of the Course to qualify for a full refund.
- Written cancellations 30 days before the start of the Course will be subject to a 50% refund.
- No refund is possible for any cancellations made after 30 days from the start of the Course.
- We are happy to welcome a substitute attendee at any time.

### Consent Clause

I hereby give my explicit consent (and have obtained the explicit consent from the people I have named on this form) to the ABFA, and any data processors they may use processing the personal data provided on this form, for the purposes set out at the beginning of the form.